

UTILITY PATENT
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

010789

Total Pages

First Named Inventor or Application Identifier

Toshiaki ONO, Tadami TANAKA, Eiichi ASAYAMA,
Hideshi NISHIKAWA and Masataka HORAI

Check Box, if applicable [] Duplicate

Express Mail Label No.

APPLICATION ELEMENTS FOR:
METHOD OF MANUFACTURING EPITAXIAL
WAFER AND METHOD OF PRODUCING SINGLE
CRYSTAL AS MATERIAL THEREFOR

ADDRESS TO:

Commissioner for Patents
BOX PATENT APPLICATIONS
Washington, D.C. 20231

1. [XX] Fee Transmittal Form (Incorporated within this form)
(Submit an original and a duplicate for fee processing)
2. [XX] Specification Total Pages [34]
3. [XX] Drawing(s) (35 USC 113) Total Sheets [5]
4. [XX] Oath or Declaration Total Pages [2]
 - a. [XX] Newly executed (original)
 - b. [] Copy from prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 17 completed).
 - i. [] Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. [] Incorporation by reference (useable if box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. [] Microfiche Computer Program (Appendix)
7. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. [] Computer Readable Copy
 - b. [] Paper Copy (identical to computer copy)
 - c. [] Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. [XX] Assignment Papers (cover sheet and document(s)) to: Sumitomo Metal Industries, Ltd., Osaka-shi, Japan
Please publish the assignee data with the application.
9. [] 37 CFR 3.73(b) Statement (when there is an assignee) [XX] Power of Attorney

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No. **010789**

First Named Inventor or Application Identifier

**Toshiaki ONO, Tadami TANAKA, Eiichi ASAYAMA,
Hideshi NISHIKAWA and Masataka HORAI**

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☒ Copies of IDS Citations (PTO-1449 & 4 Ref.)
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☒ [2] Certified copies of Priority Documents are enclosed.
- a. Priority of Japanese application No. 2000-188176 filed on June 22, 2000 is claimed under 35 USC 119.
- b. Priority of Japanese application No. 2000-190631 filed on June 26, 2000 is claimed under 35 USC 119.
16. ☐ Other _____
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. ____/____

| FEE TRANSMITTAL | Number Filed | Number Extra | Rate | Basic . . Fee |
|---------------------------------------|--------------|--------------|-----------|------------------|
| The filing fee is calculated below | | | | \$710.00 |
| Total Claims | 24 - 20 | 4 | x \$18.00 | \$72.00 |
| Independent Claims | 12 - 3 | 9 | x \$80.00 | \$720.00 |
| Multiple Dependent Claims | | | \$270.00 | \$270.00 |
| Basic Filing Fee | | | | \$1772.00 |
| Reduction by 1/2 for small entity | | | | |
| Fee for recording enclosed Assignment | | | \$40.00 | \$40.00 |
| TOTAL | | | | \$1812.00 |

**UTILITY PATENT
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

010789

First Named Inventor or Application Identifier

**Toshiaki ONO, Tadami TANAKA, Eiichi ASAYAMA,
Hideshi NISHIKAWA and Masataka HORAI**

PAGE 3 OF 3

☒ [XX] A check in the amount of \$1812.00 is enclosed to cover the filing fee including \$710.00 basic filing fee; \$72.00 for 4 extra claims; \$720.00 for 9 extra independent claims; \$270.00 for multiple dependent claims and the assignment recordation fee of \$40.00.

☐ [] Please charge our Deposit Account No. **01-2340** in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

☒ [XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name **Stephen G. Adrian**

Reg. No. **32,878**

Signature 

Date: **June 20, 2001**